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Docket No.: 774-010234-US(PAR) Serial No.: 09/802,084 INFORMATION DISCLOSURE P ECHTATION FORM FOR PATENT APPLICATION Applicant(s): OOI et al. MAY 1 7 2001 (FORM PTO-1449) Group: 2823 (Substitute) Filing Date: 03/08/01 **U.S. PATENTS** Entriable! Filing date Patent Number Issue Date Name Class Subclass 522 6,027,989 2/22/00 Poole et al. 438 2/28/96 FOREIGN PATENT DOCUMENTS Date Country Translation? Document Number Name **Initials** Yes/No/n/a WO 96/27226 • 9/06/96 **PCT** National Research n/a Council of Canada EP 0812485 B13 12/17/97 Europe National Research n/a Council Canada EP 0731387 A2 6 9/11/96 Samsung Electronics Co., Europe n/a OTHER DOCUMENTS (Title, Author, Date, Pages, Etc., if known) "Integration Process for Photonic Integrated Circuits Using Plasma Damage Induced Layer Intermixing", OOI et al., 1995, Electronics Letters, GB, IEE Stevenage, vol. 31, no. 6, pages 449-450. "Plasma Immersion AR+ ION Implantation Induced Disorder in Strained InGaAsp Multiple Quantum Wells", Lam et al., 1998, Electronics Letters, GB, IEE Stevenage, vol. 34, no. 8, pages 817-818. "Polarization Insensitive InGaAs/InGaAsP/InP Amplifiers Using Quantum Well Intermixing", He et in al., 1996, Applied Physics Letters, vol. 69, no. 4, pages 562-564. "Bandgap Tuning of Semiconductor Quantum Well Structures Using ION Implantation", Piva et al., 1994, Superlattices and Microstructures, GB, Academic Press, vol. 15, no. 4, pages 385-389. "Band-Gap Tuning of InGaAs/InGaAsP/InP Laser Using High Energy Ion Implantation", Charbonneau 82 et al., 1995, Applied Physics Letters, American Institute of Physics, vol. 67, no. 20, pages 2954-2956. "Plasma Vacuum Ultraviolet Emission in a High Density Etcher", Cismaru et al, 1994, 4th International Car Symposium on Plasma Process-Induced Damage, pages 192-195. "Structural Imperfections in Silicon Dioxide Films Identified with Vacuum Ultraviolet Optical Absorption Can Measurements", Awazu et al., 1991, Appl. Phys. Letters, vol. 59, no. 5, pages 528-530. "Effect of Ion Beam and electron Cyclotron Resonance Etch-Induced Damage on the Optical Properties of Con Multiple quantum Well Structures", Bensaoula et al., 1994, J. Appl. Phys., vol. 75, no. 6, pages 2818-2822. "Effect of Zinc Impurity-Induced Disordering on the Refractive Index of GaAs/AlGaAs Multiquantum Wells". a Han et al., 1994, Appl. Phys. Letters, vol. 64, no. 6, pages 760-762. "Ultralow Damage Depth by Electron Cyclotron Resonance Plasma Etching of GaAs/InGaAs Quantum Wells". Eu Bickl et al., 1993, Appl. Phys. Letters, vol. 62, no. 10, pages 1137-1139. "Plasma Vacuum Ultraviolet Emission in an Electron Cyclotron Resonance Etcher", Cismaru et al., 1999, Appl. Es Phys. Letters, vol. 74, no. 18, pages 2599-2601. "Electron Cyclotron Resonance Plasma-Induced Damage in AlGaAs/GaAs/AlGaAs Single Quantum Wells". Swaminathan et al., 1991, Appl. Phys. Letters, vol. 58, no.12, pages 1256-1258. Examiner's Signature: /// Date Considered: 9/2/ Initial if reference was considered, whether or not citation is in conformance with MPEP. Mark through citation if not considered. Include a copy of this citation form with your next correspondence to the Applicant(s).

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